

09/308166

418 Recd PCT/PTO 14 MAY 1999

**TRANSMITTAL LETTER FOR A PCT INTERNATIONAL APPLICATION
ENTERING THE NATIONAL STAGE IN THE U.S.
AS A DESIGNATED or ELECTED OFFICE UNDER 35 USC 371**

Attorney's Docket No.: HASLP003

Date: May 14, 1999

Express Mail" mailing label number (from mail label): **EL243914071**

Date of Deposit: May 14, 1999

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, as required under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT Application, Washington, D.C. 20231.

Name: Dionna Holmes

Signature: Dionna Holmes

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15 SEP 1999

Legal Staff
International Division

Assistant Commissioner for Patents
Box PCT Application
Washington, D.C. 20231

Attention: DO/EO/US

Transmitted herewith are the papers required to enter the national state in the U.S. as a designated office/elected office for the following PCT international patent application:

INTERNATIONAL APPLICATION NUMBER: PCT/GB97/03152

Int'l Filing Date: 17 November 1997

1st Priority Date: 16 November 1996

Inventor(s): MAYES, Eric, Leigh
TYLER, Malvin, Nicolas

For: MAGNETIZABLE DEVICE

The United States Patent Office is: (select one)

- A Designated Office (No Demand was filed - See 37 CFR 1.494)
 An Elected Office (A Demand for Preliminary Examination was Filed - See 37 CFR 1.495)

Enclosed are:

- This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 A copy of the international application (if this line is not checked, the international application was previously communicated by the International Bureau or the international application was originally filed in the USPTO).
 An English Translation of the International Application
 A Combined Declaration and Power of Attorney
 A copy of amendments made under PCT Article 19
 A translation of amendments made under PCT Article 19
 A translation of annexes to the international preliminary examination report
 Verified Statement establishing Small Entity Status under 37 CFR 1.9 and 1.27.
 An Assignment of the Invention to: ***.
 (with \$40.00 recordal fee)
 Information Disclosure Statement

- X A Preliminary Amendment
X A copy of the International Search Report
X A copy of the Preliminary Examination Report
A check to cover the filing fees (including the basic national fee under 37 CFR 1.492(a)) in the amount calculated below:

FEE CALCULATION

| | | |
|---|--------------------|--------|
| <u>X</u> | BASIC FEE | \$930 |
| (IPEA-U.S. \$720/360; ISA-U.S. \$790/395; PTO not ISA or IPEA \$1070/535; | | |
| U.S. IPEA all claims meet 33(2)-(4) \$98/49; File w/ EPO or JPO search report \$930/465;) | | |
| Surcharge for filing a late oath or declaration (\$130/65) | \$ *** | |
| Surcharge for filing a late translation (\$130) | \$ *** | |
| Multiple dependent claims (\$270/135) | \$ 270 | |
| Excess claims - see calculation below | \$ *** | |
| Total Claims: 16 - 20 = 0 X \$22/11 claim = | \$ -0- | |
| Independent Claims: 2 - 3 = 0 X \$82/41 ind. claim = | \$ -0- | |
| | Excess Claim Total | \$ -0- |
| Assignment recordal fee (\$40) | \$ *** | |
| | TOTAL FEES | \$1200 |

Please direct any correspondence to:

Customer Number: 022434
BEYER & WEAVER, LLP
P.O. Box 61059
Palo Alto, CA 94306



22434

PATENT TRADEMARK OFFICE

X The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0388. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

Jayson Van

Joseph M. Villeneuve
Registration No. 37,460

BEYER & WEAVER, LLP
P.O. BOX 61059
Palo Alto, CA 94306
(650) 493-2100

Applicant/Patentee: MAYES and TYLER

Application or Patent No. 09/308,166

Atty Docket # HASLP003

Filed or Issued: 17 November 1997

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
37 CFR 1.9(f) and 1.27(c)--SMALL BUSINESS CONCERN

I hereby declare that I am

[] the owner of the small business concern identified below:

an official empowered to act on behalf of the small business concern identified below:

NAME OF CONCERN: NANOMAGNETICS LIMITED

ADDRESS: 9 The Circus, Bath BA1 2EW, Great Britain

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under 41(a) and (b) of Title 35, U.S. Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: MAGNETIZABLE DEVICE
by inventor(s) Eric Leigh MAYES and
Malvin Nicolas TYLER described in

[] the specification filed herewith.
[x] Application No. PCT/GB97/03152 filed 17th November 1997
[] patent # issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*Note: separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name: _____
Address: _____

[] individual [] small business concern [] nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 1001 of Title 18 of the U.S. Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Mr MALVIN NICOLAS TYLER

TITLE IN ORGANIZATION: DIRECTOR

SIGNATURE 

DATE MAY 14 2000

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Signature: Dionna Holmes

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- Information Disclosure Statement